

**FINANCIAL DISCLOSURE STATEMENT  
FOR PUBLIC OFFICERS**

NJSEC FDS06/2  
Page 1

**INSTRUCTIONS:**

Public Officers must complete this form in full. Public employees who hold positions in the Executive Branch of State Government are not required to complete this form, but must complete and file form FDS06/1.

The completed form must be forwarded to the State Ethics Commission, PO Box 082, Trenton, NJ 08625-0082. (Hand delivery address: 28 West State Street, Room 1407, Trenton, NJ 08608) The form must contain an original signature and an original notarization unless electronically filed.

Questions should be directed to the Director of the State Ethics Commission, PO Box 082, Trenton, NJ 08625-0082, Telephone (609) 292-1892.

**a. General Information**

Date of Statement: \_\_\_\_\_

Filing Year:

First Name: \_\_\_\_\_ M.I. \_\_\_\_\_

Last Name: \_\_\_\_\_

**Business Mailing Address**

Street Address: \_\_\_\_\_

P.O. Box: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Email Address (optional): \_\_\_\_\_

Position: \_\_\_\_\_ Daytime Telephone Number: \_\_\_\_\_

Department or Agency:

Other compensated or uncompensated governmental positions you hold:

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**b. Please list below any occupation, trade, business, profession or employment presently engaged in by you, your spouse, your domestic partner, or your dependent children.**

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Do you hold a license issued by a State agency that entitles you to engage in a particular business, profession, trade or occupation (e.g., law, real estate, engineering, medicine, plumbing)?

If yes, please so indicate:

License	License is active	License is inactive

## FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS

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Do you have any personal contractual or business relationship with another officer or employee or special State officer or employee of your agency? If yes, please explain.

YES ☐ NO ☐

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### c. State Employment - Relatives

For the purposes of this question, "relative" means your spouse or your or your spouse's parent, child, brother, sister, aunt, uncle, niece, nephew, grandparent, grandchild, son-in-law, daughter-in-law, stepparent, stepchild, stepbrother, stepsister, half brother or half sister, whether the relative is related to you or your spouse by blood, marriage or adoption.

1. Is any relative employed in an office or position in the unclassified service of the civil service of the State?

YES ☐ NO ☐

2. If yes, name of relative(s) and employing agency.

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3. Do you exercise authority, supervision or control (including personnel actions) over the individual(s) named above?

YES ☐ NO ☐

### d. Training

1. Have you completed ethics training?

YES ☐ NO ☐

2. If yes, indicate nature of training. ☐ in-person ☐ on-line

3. If in-person, training provided by ☐ agency ☐ State Ethics Commission

4. Date training completed. \_\_\_\_\_

## FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS

**e. Assets:** List all assets, both tangible and intangible, in which you, your spouse, your domestic partner or your dependent children hold an interest as of the date of this statement.

Identify assets with check mark. Do not indicate dollar value. Please fill in each line; indicate not applicable with "N/A" or "None."

ASSETS (Valued at more than \$1,000)	Public Officer:	Spouse / Domestic Partner :	Dependent Children:
CASH ON HAND IN BANK			
NOTES RECEIVABLE- Indicate Nature of Note on Item h.5 on page 4.			
ACCOUNTS RECEIVABLE - Indicate Nature of Accounts on Item h.5 on page 4.			
GOVERNMENT BONDS Itemize on Schedule A			
STOCKS & CORPORATE BONDS (Include Mutual Funds) Itemize on Schedule A			
INTEREST IN CONTRACTS WITH GOVERNMENT INSTRUMENTALITIES Itemize on Schedule B			

ASSETS (Valued at more than \$1,000)	Public Officer:	Spouse / Domestic Partner:	Dependent Children:
REAL ESTATE INTERESTS- Itemize on Schedule C			
ACCUMULATED CASH VALUE OF LIFE INSURANCE			
TOTAL VALUE OF PENSION FUND (include IRA, Keogh, Annuities, State Pension etc.)			
VEHICLES			
OTHER ASSETS (Itemize Below)			

**f.** Are you, your spouse, your domestic partner or your dependent children a party to a blind trust agreement? If yes, please provide the name and address of the Trustee.

YES ☐ NO ☐

Name: \_\_\_\_\_

Address: \_\_\_\_\_

**g.** Do you, your spouse or your domestic partner have any interest in any closely held corporation, partnership, sole proprietorship, or similar business entity?

YES ☐ NO ☐

If yes, itemize on Schedule D.

## FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS

**h. Income:** Public officers, their spouses or their domestic partners, and dependent children must indicate the source of their incomes. Public officers filing before July 1 of any year must provide this information for the preceding calendar year. Public officers filing after July 1 of any year must provide this information for the 12-month period prior to filing. Income from any source totaling less than \$1,000 need not be disclosed. The following gifts need not be reported: (1) cash gifts of less than \$100 in the aggregate received from a person, (2) non-cash gifts with fair market value of less than \$200 in the aggregate received from a person, and (3) gifts of less than \$3,000 in the aggregate received from a relative. Please fill in each line; indicate not applicable with "N/A" or "None."

Indicate sources of income with a check mark. Do not indicate dollar value.

	Public Officer:	Spouse / Domestic Partner :	Dependent Children:
1. All compensated employment of whatever nature, including current State or other employment. Please list commencement and, if applicable, termination date. Be sure to include the name of the employer(s).			
2. All directorships and other fiduciary positions for which compensation has or will be received:			
3. All contractual arrangements producing or expected to produce income, including but not limited to buyout agreements and severance payments :			
4. All capital gains: (Itemize on Schedule E)			
5. All honoraria, lecture fees, gifts and other gratuities (cash or non-cash) and other miscellaneous sources of income, including but not limited to Social Security and pensions, interest, dividends, royalties, rents and accounts and notes receivables :			

**i.** List any offices, trusteeships, directorships or positions of any nature, whether compensated or uncompensated, held by you, your spouse, your domestic partner or dependent children, with any firm, corporation, association, partnership or business. If such firm, corporation, association, partnership or business does business with a casino or does business with the State, or is regulated, licensed or inspected by any State agency, please identify the State agency or casino.

Position Held	Firm, Corporation, Association, Partnership or Business	State Agency	Casino	Indicate whether held by public officer, spouse, domestic partner, or dependent children.

The following liabilities need not be reported: less than \$10,000 owed to a relative; less than \$1,000 owed to any other person; loans secured by a personal motor vehicle, household furniture or appliances where the loan did not exceed the purchase price of the item and the outstanding balance did not exceed \$10,000 as of the close of the preceding calendar year; and revolving charge accounts where the outstanding liability did not exceed \$10,000 as of the close of the preceding calendar year. Indicate not applicable with "N/A" or "None."

[illegible]

A = greater than \$1,000 but not more than \$5,000  
B = greater than \$5,000 but not more than \$25,000  
C = greater than \$25,000 but not more than \$50,000  
D = greater than \$50,000 but not more than \$100,000  
E = greater than \$100,000 but not more than \$250,000  
F = greater than \$250,000 but not more than \$500,000  
G = greater than \$500,000

## FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS

### SCHEDULE A - STOCKS AND BONDS HELD

List the type of securities owned by you, your spouse, your domestic partner or your dependent children; list the name of the company, mutual fund, holding company or government agency issuing the stocks and bonds. If your ownership interest exists through a mutual fund or holding company, you need not list the individual stocks so owned; you must, however, list the mutual fund or holding company. If your ownership interest exists through a beneficial interest in a trust, list the stocks and bonds so owned if you have such knowledge; otherwise list the name of the trustee. Do not indicate dollar value.

Indicate not applicable with "N/A" or "None."

Type of Security (Stock or Bond)	Name of Issuing Company, Mutual Fund, Holding Company or Government Agency	Held by: (check)			Percentage Ownership*
		Public Officer	Spouse / Domestic Partner	Dependent Children	

\*Individuals who own more than 10% of the total stock of any company must disclose the percentage of ownership.

List below any direct or indirect interest, whether vested or contingent, held by you, your spouse, your domestic partner or dependent children, in any contract made or executed by a government instrumentality- (State, County, Municipality or other public agency in New Jersey). Indicate not applicable with "N/A" or "None."

[illegible]

**FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS**

**SCHEDULE C- REAL ESTATE INTERESTS**

1. List all of your real estate holdings and those of your spouse or domestic partner and dependent children. New Jersey real estate holdings must include the county, size, and current use of any real property in which any direct, indirect, vested or contingent interest is held by you, your spouse or domestic partner or dependent children, along with the names of all individuals or entities who share a direct or indirect interest therein. Indicate not applicable with "N/A" or "None."

County	Size	Individuals or Entities Sharing Interest	Current Use*	Acquisition Date	Held by: (check)		
					Public Officer	Spouse / Domestic Partner	Dependent Children

\* Specify if commercial, industrial, residential, rental, farm or vacant.

2. Do any of the individuals who are listed above as sharing a real estate interest with the public officer, spouse, domestic partner or dependent children of the public officer work for the same State agency as the public officer? If so, identify the individuals.

YES ☐ NO ☐

Name: \_\_\_\_\_

3. List the name of any government instrumentality that is a tenant in 1. above and any government instrumentality that has before it an application, complaint or proceeding directly affecting any real property listed above.

County	Government Instrumentality Tenant	Government Instrumentality with Proceeding Pending



**FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS**

**SCHEDULE D - INTEREST IN CLOSELY HELD CORPORATIONS OR SIMILAR BUSINESS ENTITIES/PURCHASES, SALES CONTRACTS OR AGREEMENTS WITH GOVERNMENT INSTRUMENTALITIES**

If you, your spouse or your domestic partner have any interest in any closely held corporation, partnership, sole proprietorship, or similar business entity, please provide the requested information for each business entity. "Interest" means **ANY** ownership or control of **ANY** profits or assets of such business entity. Indicate not applicable with "N/A" or "None."

Interest held by: ☐ Public Officer ☐ Spouse ☐ Domestic Partner

BUSINESS NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_

*(Use a separate sheet to list additional business entities.)*

General description of business activity of entity:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Duties and responsibilities of State position:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

BUSINESS NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_

General description of business activity of entity:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

BUSINESS NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_

General description of business activity of entity:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS

## SCHEDULE E - CAPITAL GAINS

Indicate not applicable with "N/A" or "None."

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**ATTESTATION**


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